

# SCC Procedures as Appointing Authority under the 2010 UNCITRAL Arbitration Rules

English

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In force as of 1 January 2023 and applied in cases under the UNCITRAL Arbitration Rules as revised in 2010.

## ABOUT THE SCC AS APPOINTING AUTHORITY

### **Article 1**

When designated as appointing authority under Article 6 of the 2010 UNCITRAL Arbitration Rules (the “Rules”), the SCC Arbitration Institute (the “SCC”) will perform the functions of the appointing authority as set forth in the Rules, supplemented by the provisions of the SCC Procedures as Appointing Authority under the 2010 UNCITRAL Arbitration Rules.

## APPOINTMENT OF SOLE OR PRESIDING ARBITRATOR

### **Article 2**

When requested to appoint a sole or presiding arbitrator under Articles 8(1) or 9(3) of the Rules, the SCC will follow the list procedure set forth in Article 8(2) of the Rules unless all parties agree that the list procedure is not appropriate for the case.

When appointing arbitrators, the SCC’s board of directors (the “Board”) shall consider the nature and the circumstances of the dispute, the applicable law, the seat and language of the arbitration and the nationality of the parties.

When appointing a sole or presiding arbitrator under the Rules, the SCC will, in so far as possible, designate a person of a nationality other than the nationalities of the parties, unless otherwise agreed by the parties.

## APPOINTMENT OF A SECOND ARBITRATOR

### **Article 3**

Under Article 9(2) of the Rules, when three arbitrators are to be appointed, each party is to appoint one arbitrator, but if a party fails to do so, the other party may request that the appointment of the second arbitrator be made by the appointing authority.

In accordance with the Rules, the SCC, when appointing a second arbitrator, will exercise its discretion and will not utilise the list procedure. Such second arbitrator shall be impartial and independent of either party.

## DECISIONS ON CHALLENGES TO ARBITRATORS

### **Article 4**

Article 12 of the Rules provides that any arbitrator may be challenged if circumstances exist that give rise to justifiable doubts as to the arbitrator's impartiality or independence.

When deciding challenges at the request of any party under Article 13(4) of the Rules, the SCC will do so through its Board.

## APPOINTMENT OF SUBSTITUTE ARBITRATORS

### **Article 5**

When the SCC shall appoint a substitute arbitrator under Article 14 of the Rules, the procedure prescribed in Articles 2 and 3 above shall be followed.

## STATEMENT OF IMPARTIALITY AND INDEPENDENCE

### **Article 6**

For the purpose of Article 11 of the Rules, each person appointed as arbitrator by the SCC shall submit to the SCC a signed statement of impartiality and independence in the form prescribed by the SCC. The SCC shall send a copy of the statement to the parties and other arbitrators.

## DECISIONS BY THE SCC

### **Article 7**

All decisions by the SCC under the SCC Procedures as Appointing Authority under the 2010 UNCITRAL Arbitration Rules are final. Dismissal of a case by the SCC in whole or in part has no preclusive effect.

# Costs

For its services as appointing authority under Articles 2, 3 and 5 above, the SCC will charge the requesting party a fixed amount of EUR 3 000 (VAT excluded).

For its services under Article 4 above, the SCC will charge the requesting party a fixed amount of EUR 3 000 (VAT excluded).

The applicable fee shall be paid when the request is submitted to the SCC. If the fee is not provided together with the request, the SCC shall set a deadline within which the party shall pay the applicable fee. If the fee is not paid within this time period, the request shall be dismissed.

**SCC Arbitration Institute**

Regeringsgatan 29, 111 53 Stockholm  
P.O. Box 16050, SE-103 21 Stockholm  
+46 8-555 100 00  
[arbitration@sccarbitrationinstitute.com](mailto:arbitration@sccarbitrationinstitute.com)  
[sccarbitrationinstitute.com](http://sccarbitrationinstitute.com)

